

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Chung H. Lam

Examiner: Unassigned

Serial No: Unassigned

Art Unit: Unassigned

Filed: Herewith

Docket: BUR919990120US2 (13344A)

For: PROCESS USING POLY-BUFFERED  
STI

Dated: December 21, 2001

Assistant Commissioner for Patents  
Washington, DC 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,834,358, issued November 10, 1998 to Pan, et al.;
2. U.S. Patent No. 5,786,262, issued July 28, 1998 to Jang, et al.;

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

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Date of Deposit: **December 21, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: December 21, 2001

  
Michelle Mustafa


3. U.S. Patent No. 5,741,738, issued April 21, 1998 to Mandelman, et al.;  
and
4. U.S. Patent No. 5,731,241, issued March 24, 1998 to Jang, et al.;
5. U.S. Patent No. 6,251,746, issued June, 2001 to Hong, et al.;
6. U.S. Patent No. 5,177,028, issued January, 1993 to Manning;
7. U.S. Patent No. 6,153,472, issued November 2000 to Ding, et al.;

Pursuant to 37 C.F.R. §1.98(d), copies of the above-listed references are not provided, as they were all previously submitted to the Examiner in connection with the parent case, Serial No. 09/520,502, filed on March 6, 2000; or cited by the Examiner in connection with the parent case.

Consideration of this Information Disclosure Statement is respectfully requested.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Leslie S. Szivos

Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

LSS:tt

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE  <div style="text-align: center;"><b>LIST OF PRIOR ART CITED BY APPLICANT</b></div> <div style="text-align: center;">(Use several sheets if necessary)</div>				<b>Atty. Docket No.</b>  FIS919990120US2 (13344A)		<b>Serial No.</b>  Unassigned	
				<b>Applicant</b> Chung Hon Lam			
				<b>Filing Date</b> Herewith			
				<b>Group</b> Unassigned			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINE R INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)	
	AA	5,834,358	Pan, et al.				
	AB	5,786,262	Jang, et al.				
	AC	5,741,738	Mandelman, et al.				
	AD	5,731,241	Jang, et al.				
	AE	6,251,746	Hong, et al.				
	AF	5,177,028	Manning				
	AG	6,153,472	Ding, et al.				
	AH						
	AI						
	AJ						
	AK						
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 3-94094	4/18/91	Japan				
	JP 100 72694	3/17/98					
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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